

FATCA/CRS Self Declaration Form (For Individuals)

Part I: Individual Identification

Account number / Customer Id _____

Nature of holder (First / Second/ Third) _____

Name of the Account Holder _____

Place of Birth _____ Date of Birth _____ Gender (M/F) _____

Country of Birth _____ Nationality/ Citizenship _____

US Person (Yes/ No) _____

Address _____

Correspondence Address (if different from above) _____

Mobile _____

Part II:

Country of tax residence (as per section 6 of the Income-tax Act, 1961)

- a. Only India. ☐
- b. India and other country ☐ _____ (kindly specify the country of tax residence)
- c. Any other country ☐ _____ (kindly specify the country of tax residence)

If answer to option (b) or (c) is yes, please provide the following details and documents:

For the purposes of taxation, I am a resident in the following countries and my Tax Identification Number (TIN)/ functional equivalent in each country is set out below or I have indicated that a TIN/ functional equivalent is unavailable (kindly fill details of all countries of tax residence if more than one).

Country/ Countries of Tax Residency	Tax Identification Number	TIN Issuing Country	Documents provided (Copy of certificate of residence Of Copy of TIN)


- a. In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate (Please also fill Part IV Self-Certification)

Reason: _____

Part III: Customer Declaration & Undertaking

I/We certify that:


- The information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income tax Rules, 1962.
- The applicant is (i) an applicant taxable as a US person under the laws of the USA or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the US, (ii) an estate the income of which is subject to US federal Income Tax regardless of the source thereof. (Applicable only in case of US person).
- The applicant is an applicant taxable as a tax resident under the laws of the Country outside India. (Applicable only if the account holder is a tax resident outside of India.)
- I/ We permit/authorize Upmove Financial Technologies Services to collect, store, communicate and process information relating to the Account and all transactions therein, by them and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
- I/ We also agree that our failure to disclose any material fact known to us, now or in future, may invalidate our application and Upmove Financial Technologies Services would be within its right to put restrictions in the operations of my/our account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /RBI for the purpose or take any other action as may be deemed appropriate by Upmove Financial Technologies Services if the deficiency is not remedied by us within the stipulated period.
- I/ We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect and shall indemnify Upmove Financial Technologies Services for any loss that may arise to the Bank/Authorities on account of providing incorrect or incomplete information.
- I/ We certify that the information on this form is true to the best of my knowledge and belief and shall indemnify the Company for any loss that may arise on account of providing incorrect or incomplete information.

Signature	
Name	
Date & Place	
PAN Number	

Part IV: Self-Certification

To be filled only if

- Name of the country in Part I is other than India and TIN or functional equivalent is not available, or
- US person is mentioned as Yes in Part I, and TIN is not available.

I confirm that I am neither a US person nor a resident for tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.	Signature: 
Document Submitted: (Tick the one provided)	
<input type="checkbox"/> Passport	<input type="checkbox"/> Govt. issued ID Card
<input type="checkbox"/> Driving License	<input type="checkbox"/> PAN Card
<input type="checkbox"/> Election ID Card	<input type="checkbox"/> UIDAI Card
<input type="checkbox"/> Other, specify _____	